



6044 11/30/06

\$1,250.00 ✓

OFFICE OF
INSURANCE COMMISSIONER

In the Matter of:)	N0. D06-321
)	
HARTFORD LIFE AND ANNUITY)	CONSENT ORDER
INSURANCE COMPANY,)	IMPOSING A FINE
)	
An Authorized Insurer.)	
_____)	

Findings of Fact:

1. Hartford Life and Annuity Insurance Company ("HLAIC") is authorized to conduct insurance business in Washington State. It issues life and disability insurance.
2. HLAIC electronically filed its 2004 annual statement and accompanying paper signature pages with the Office of the Insurance Commissioner ("OIC") on February 25, 2005. HLAIC's calendar year 2004 Separate Accounts reports were not included in the filing.
3. HLAIC completed filing the calendar year 2004 annual financial report on May 5, 2005 by filing the Separate Accounts reports with OIC.
4. HLAIC filed its calendar year 2004 April Supplemental Filing and Management's Discussion and Analysis with the OIC on May 5, 2005.

Conclusion of Law:

HLAIC's failure to timely complete filing its 2004 annual statement and 2004 April filings with the OIC constitutes two violations of RCW 48.05.250.

Consent to Order:

HLAIC consents to the following, in order to resolve this matter without further administrative or judicial proceedings and the Insurance Commissioner consents to resolve this matter in consideration of the insurer's payment of a fine as set forth below.

1. HLAIC consents to entry of the foregoing Findings of Fact and Conclusions of Law, and acknowledges its duty to comply fully with the applicable laws of the State of Washington, and waive further administrative or legal challenge to the actions taken, or to be taken, by the Insurance Commissioner related to the subject matter of this order.

2. Within thirty days of entry of this Order, HLAIC will pay to the OIC a fine in the amount of \$1,250 (one thousand two hundred fifty dollars).

3. If the fine is not timely paid in full, this will constitute grounds for the suspension or revocation of the certificate of authority held by HLAIC in the State of Washington. It will also result in a civil action being filed by the Attorney General on behalf of the Insurance Commissioner, to recover the amount of the fine.

Executed this 28th day of November, 2006.

HARTFORD LIFE AND ANNUITY INSURANCE COMPANY

By: 

Printed Name: Ernest M. McNeill

Printed Corporate Title: SVP & Chief Accounting Officer

Order:

Pursuant to the foregoing Findings of Fact, Conclusions of Law, and Consent to Order, the Insurance Commissioner orders as follows:

1. Hartford Life and Annuity Insurance Company is ordered to pay, within thirty days of the entry of this Order, a fine in the amount of \$1,250 (one thousand two hundred fifty dollars).

2. Failure to timely pay the fine shall constitute grounds for suspension or revocation of the certificate of authority held by Hartford Life and Annuity Insurance Company in Washington State. It will also result in a civil action being brought by the Attorney General on behalf of the Insurance Commissioner, to collect the fine.

Executed this 7th day of December, 2006

MIKE KREIDLER
Insurance Commissioner

By: 

Marcia G. Stickler
Legal Affairs Division